



# GxP Validation in the Age of Technology Disruption



By Alok Tayi, PhD

## Introduction

Drug development has dramatically changed over the past ten years. A practice once dominated by pen-and-paper has since been overrun by computerized systems, cloud software, and artificial intelligence. In this dynamic technological environment, many biopharma struggle to adopt new technologies because of the uncertainty of how to comply with FDA regulations. Needless to say, developing a quality and compliance posture that meets the needs of both masters (business stakeholders v. auditors) is a tall order. In this piece, we will chronicle recent technological trends, specific challenges these trends pose for Quality & CSV teams, and best practices for tackling resulting compliance issues.

## The spirit of GxP validation

Unlike other types of compliance, adhering to 21 CFR Part 11 and GxP requirements for electronic records varies from institution to institution. As a self-reporting compliance that is the outcome of validation, we will avoid the debate of what features or technologies are/not compliant. Instead, we believe it is best to re-orient the discussion towards first principles and ask, 'What is the spirit of the law?'.

In our view, the spirit of these regulations is:

1. Ensure we can trust the data
  - a. Make sure the systems that generate the data work properly
  - b. Make sure the data isn't tampered with
2. Track & verify what people do
  - a. Make sure we know who did what
  - b. Make sure individuals are attesting to a change

The outcome of these concepts is the variety of validation requirements, processes, and protocols.

## Validation is more complex than ever before

In the early decades of digital transformation, software was predominantly developed, shipped, and deployed at customer sites. Updates, further, were provided once per year. As a result, each piece of software, and it's underlying hardware, required (re-)validation on an annual, controllable cadence, if ever.

This 'waterfall' mode of software development, however, has changed dramatically over the past two decades. The dominance of Agile development, including continuous delivery and continuous integration, means software is constantly changing. Additionally, cloud applications are more frequently being hosted by vendors as opposed to internally-controlled computing infrastructure.

To add further complexity, 50% or more of critical GxP work (e.g. pre-clinical tox studies, clinical trials, batch-release testing, etc.) are performed by outsourced vendors. In some cases, clinical trials for a given program could be supported by more than a dozen external vendors. Maintaining compliance of internal systems alongside a distributed network of collaborators is challenging, to say the least.

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## Best practices for validation

As technology and the operating model for drug development evolves, Quality and CSV teams need to adapt alongside. In this context, we have identified three sets of best practices to help companies manage the risk and their company's compliance envelope. At a high-level, the three best practices are to use automation, to build an ecosystem, and to choose the right partner.

### Leverage automation

Modern, cloud-based software is written and tested by a mix of automated and human-based testing. Where possible, use unit tests to evaluate automatically if software is operating as expected. Deviations can be flagged, assessed, and triaged as a result.

### Centralize data from your ecosystem

Given the diaspora of regulated data amongst collaborators and cloud-hosted software, it is hard to track the evolving compliance posture of so many companies as the market evolves. Instead, rely on vendors that have the ability to integrate, exchange, and centralize data across those providers. Through a holistic ecosystem, you can mitigate risk by having data flow in to a single, GxP repository that you control.

### Future-proof with the right partner

Ultimately, it's all about partnership. Your team cannot do everything. Validation packages, testing automation, and ecosystem thinking are the facets that set good vendors apart from great ones. In your search for a compliant solution, select the right partner whose product roadmap enables your company to grow with them.

## Conclusion

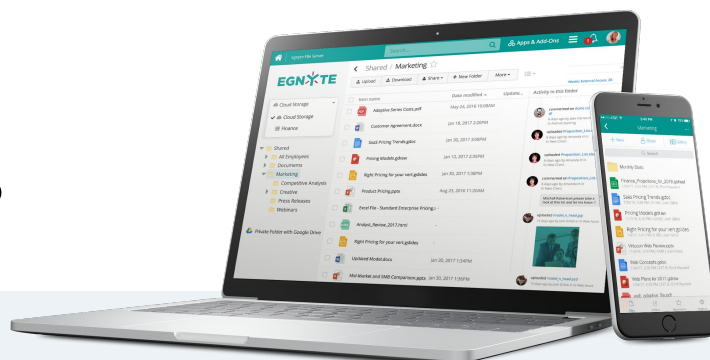
Science has fundamentally changed. Most biopharma companies outsource as much science as they do inside their four walls. Data sets at any given stage of drug development are becoming larger, more diverse, and have higher fidelity. Software is no longer built by in-house software development teams, but rather provided as-a-service by hundreds of cloud vendors. Furthermore, the quantity of insider threats and sophistication of hackers make data breaches a near-daily occurrence.

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